Exercise and Mental Health: Many Reasons to Move

Andréa Deslandes[a] Helena Moraes[b] Camila Ferreira[c] Heloisa Veiga[c]
Heitor Silveira[b] Raphael Mouta[b] Fernando A.M.S. Pompeu[d]
Evandro Silva Freire Coutinho[a] Jerson Laks[b]

[a]National School of Public Health, [b]Center for Alzheimer Disease and Related Disorders, and [c]Brain Mapping and Sensorimotor Integration Laboratory, Institute of Psychiatry, Universidade Federal do Rio de Janeiro, and [d]Universidade Federal do Rio de Janeiro, Rio de Janeiro, Brazil

Abstract

The relationship between physical activity and mental health has been widely investigated, and several hypotheses have been formulated about it. Specifically, during the aging process, physical exercise might represent a potential adjunctive treatment for neuropsychiatric disorders and cognitive impairment, helping delay the onset of neurodegenerative processes. Even though exercise itself might act as a stressor, it has been demonstrated that it reduces the harmful effects of other stressors when performed at moderate intensities. Neurotransmitter release, neurotrophic factor and neurogenesis, and cerebral blood flow alteration are some of the concepts involved. In this review, the potential effects of exercise on the aging process and on mental health are discussed, concerning some of the recent findings on animal and human research. The overwhelming evidence present in the literature today suggests that exercise ensures successful brain functioning.

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Introduction

Neurodegenerative diseases become more prevalent as individuals age and, therefore, represent a serious issue for the healthcare system. Since inactivity is the number one risk factor for many diseases, physical activity has become an emerging topic of interest for many investigators. Exercise might act as an efficient and low-cost adjunctive factor in the treatment and prevention of age-related neurodegenerative processes [1, 2]. Recent studies have focused on the correlation between physical activity and mental health [3–6]. Clinical evidence has demonstrated that exercise has a positive relationship with the outcome of different mental diseases, such as depression, Alzheimer's disease and Parkinson's disease, improving not only patients' quality of life but the disease itself [7–9]. Some authors state that the influence of exercise on brain functioning might be related to the human evolutionary process, since physical activity is associated with survival. It has been suggested that individuals who exercise might show a biological advantage over sedentary individuals [4]. Indeed, exercise is related to enhanced cognitive functioning and brain plasticity [10, 11]. Although there is an increasing interest in the mechanisms supporting the positive effects of exercise on mental health, clinical evidence is still very limited.

Andréa Camaz Deslandes
Center for Alzheimer Disease and Related Disorders, Institute of Psychiatry
Universidade Federal do Rio de Janeiro, Rua Sylvio da Rocha Pollis 300, Casa 02
Recreio dos Bandeirantes 22793.395, Rio de Janeiro, RJ (Brazil)
Tel. +55 21 7896 9778, Fax +55 21 3328 5020, E-Mail adeslandes@ufrj.br

Table 1. Summary of physical exercise interventions for elderly patients with major depressive disorder (MDD)

Reference	Sample	Age, years	Diagnostic criteria	Type of exercise	Intervention duration	Primary outcomes	Exercise group improvement
Singh et al.** [24]	16 E 16 C	71.3 ± 1.2	DSM-IV	ST	20 weeks	BDI, HDRS, SF36	BDI, HDRS, SF36
Blumenthal et al.* [20]	41 M (sertraline) 39 E 44 M + E	57 ± 6.5	DSM-IV	AT	16 weeks	BDI, HDRS	BDI, HDRS
Babyak et al.* [21]	41 M (sertraline) 39 E 44 M + E	57 ± 6.5	DSM-IV	AT	16-week follow-up 10 months	BDI, HDRS	Lower rates of depression (clinical diagnostic)
Singh et al.** [25]	15 E 14 C	71 ± 2.0	DSM-IV	ST	20-week follow-up 26 months	BDI, PGMS	BDI, PGMS
Herman et al.* [22]	48 M (sertraline) 53 E 55 M + E	56.72 ± 6.45	DSM-IV	AT	16 weeks	Dropout remission (HDRS)	Low dropout (NS)
Mather et al. [23]	42 E 43 C	63 65	ICD-10	AT	10 weeks	HDRS, GDS	HDRS, GDS
Singh et al. [26]	18 E (high intensity) 17 E (low intensity) 19 control	69 ± 5 70 ± 7 69 ± 7	DSM-IV	ST	8 weeks	HDRS, GDS	HDRS, GDS
Blumenthal et al. [7]	53 E (home-based) 51 E (supervised) 49 M (sertraline) 49 placebo	52 ± 8	DSM-IV	AT	16 weeks	HDRS	HDRS

ST = Strength training; AT = aerobic training; Group: E = exercise; C = control; M = medication. Depression rating scales: HDRS = Hamilton Depression Rating Scale; BDI = Beck Depressive Inventory; GDS = Geriatric Depressive Scale; DSM-IV = Diagnostic and Statistical Manual for Mental Disorders. * and ** = Same initial sample; NS = not significant between groups; SF36 = 36-Item Short Form Health Survey; PGMS = Philadelphia Geriatric Center Morale Scale; ICD-10 = International Statistical Classification of Diseases and Related Health Problems.

This revision, which focuses on the relationship between exercise and mental health, is divided into: (1) clinical studies that investigated the effect of exercise as a non-pharmacological treatment of mental illness, and (2) studies that hypothesized a neurophysiological pathway to explain the relationship between exercise and mental health.

Method
A computer search of PubMed and IsiWeb was conducted using a combination of the key words *exercise*, *physical activity*, and *elderly* with the specific mental disorder (major depression, Alzheimer's disease, Parkinson's disease). Articles that did not specify methods of clinical diagnosis and that did not measure effects of exercise

were excluded. Also, studies that measured other comorbid conditions were excluded. After all exclusions, the final result comprised 32 articles. They are presented in table 1 (8 articles), table 2 (8 articles) and table 3 (16 articles). The other studies referenced in this review contribute to the understanding of the mechanism of action of exercise related to maintaining a healthy brain.

Mental Health and Exercise: Clinical Evidences in Elderly Subjects

Physical Exercise and Major Depression
A recent study has shown the overall prevalence of depression in the elderly to be 22%, and that a sedentary

Deslandes/Moraes/Ferreira/Veiga/
Silveira/Mouta/Pompeu/Coutinho/Laks

Table 2. Summary of physical exercise interventions for elderly patients with Alzheimer's disease

Reference	Sample	Age	Type of exercise	Intervention duration	Primary outcomes	Exercise group improvement
Palleschi et al. [39]	15 E	74 ± 1.5	aerobic	3 months	MMSE, attentional and verbal tests	MMSE, attentional and verbal tests
Arkin [36]	24 E	78.8 ± 8.0	aerobic, flexibility, strength and balance	at least 1 year	strength and aerobic capacity, GDS	strength and aerobic capacity
Teri et al. [39]	76 E 77 C	78 ± 6 78 ± 8	aerobic, flexibility, strength and balance	3 months	SF36, SIP, HDRS, CSDD	SF36, CSDD HDRS
Mahendra and Arkin [40]	24 E	78.8 ± 8.0	aerobic, flexibility, strength and balance	at least 1 year	strength and aerobic capacity, GDS, caregiver evaluation	strength, aerobic capacity, caregiver evaluation
Rolland et al. [8]	67 E 67 C	82.8 ± 7.8 83.1 ± 7	aerobic, flexibility, strength and balance	12 months	Katz ADLs	Restrain ADL decline
Williams and Tappen [34]	30 E (sw) 31 E (ce) 29 C	88 ± 6.32	aerobic, flexibility, strength and balance	16 weeks	DMAS, CSDD AMS, OAS	DMAS, CSDD AMS, OAS
Arkin [37]	24 E	78.8 ± 8.0	aerobic, flexibility, strength and balance	at least 1 year	MMSE, CDR, CERAD, ABCD, WAIS-R	MMSE, ABCD WAIS-R comprehension
Williams and Tappen [35]	16 E (sw) 17 E (ce) 12 C	87.9 ± 5.95	aerobic, flexibility, strength and balance	16 weeks	DMAS, CSDD AMS, OAS	DMAS, CSDD AMS, OAS

ADLs = Activities of daily living; SF36 = 36-Item Short-Form Health Surveys; ABCD = Arizona Battery for Communication Disorders of Dementia; SIP = Sickness Impact Profile Mobility Subscale; DMAS = Dementia Mood Assessment Scale; CSDD = Cornell Scale for Depression in Dementia; AMS = Alzheimer Mood Scale; OAS = Observed Affect Scale; HDRS = Hamilton Depression Rating Scale; E = exercise; C = control; sw = supervised walking; ce = comprehensive exercise; MMSE = Mini-Mental State Examination; CDR = Clinical Dementia Rating; WAIS-R = Wechsler Adult Intelligence Test-Revised.

lifestyle is significantly correlated to depression morbidity [12]. Dunn et al. [13] showed that only 37 studies have studied exercise in major depressed (MDD) patients, out of a thousand papers on the issue. Reviews have suggested that exercise is an effective treatment for depression [14–17]. Other studies have also examined the effect of physical exercise on the prevention of depression [18, 19]. Despite the fact that data on elderly patients are even scarcer, investigations have shown an inverse relationship between aerobic [7, 20–23] and strength training [24–26] and depression in the elderly (table 1). The efficacy of these interventions is influenced by diagnosis, intensity of exercise, and instruments used to evaluate response [13, 27]. For example, aerobic exercise at an intensity consistent with public health recommendations can be regarded as an effective treatment of mild and moderate MDD. On the other hand, the effects of low-intensity exercise are comparable to placebo effects [27]. In a recent

study, Blumenthal et al. [7] evaluated MDD patients with different treatments, namely sertraline, placebo, home-based exercise, and supervised exercise. Although the authors observed a higher remission rate with sertraline (47%) and exercise (45%), placebo response was also high, suggesting that a considerable portion of therapeutic response is also determined by the attention provided to the patient and to his/her own expectations regarding the treatment. Overall, there is little evidence for a possible dose-response effect of exercise on major depression.

Physical Exercise and Alzheimer's Disease

Although epidemiological studies have associated exercise with reduced risk to develop Alzheimer's disease (AD), the biological bases of such benefits remain inconclusive [28]. AD, a neurodegenerative disease, is characterized by the formation of β-amyloid plaques, neuronal loss in the hippocampus, reduced cholinergic function

Table 3. Summary of physical exercise interventions for elderly patients with Parkinson's disease

Reference	Sample	Age	Type of exercise	Intervention duration, weeks	Primary outcomes	Exercise group improvement
Comella et al. [51]	18 E 18C	66.8	general exercise and PT	4	UPDRS	UPDRS
Schenkman et al. [50]	23 E 23 C	55–84	individual flexibility	10	spinal flexibility and physical performance	functional reach and spinal flexibility
Reuter et al. [49]	16 E	65.4 ± 5.9	combined: aerobic gait, flexibility, strength	14	UPDRS, MMSE, BTM CURS, AMQZ, SIP	UPDRS, BTM and CURS
Baatile et al. [48]	6 E	72.7 ± 3.7	pole striding	8	UPDRS; PDQ-39	ADLs
Niewboer et al. [47]	33 E	66.2	functional training	6	UPDRS, activity scale score	activity scale score
Bergen et al. [46]	4 E 4 C	56.8 ± 6.5 63.5 ± 9.2	aerobic	16	movement initiation, VO_2 peak	movement initiation VO_2 peak
Hirsch et al. [9]	9 E (B) 6 E (S + B)	75.1 ± 1.8 70.8 ± 2.8	balance and strength	10	SOT, strength	strength, gait
Lun et al. [52]	8 E (Home) 11 E (PT)	65 ± 8	balance, flexibility and strength	8	UPDRS total and motor TUG, BBS, ABC scale	UPDRS motor
Protas et al. [54]	9 E 9 C	71.3 ± 7.4 73.7 ± 8.5	gait and step training	8	reduce in falls, increase in steps and gait	reduce in falls, increase in steps and gait
Miyai et al. [45]	11 E (BWSTT) 9 E (PT)	69.5 ± 1.9 69.8 ± 1.5	BWSTT and PT	4	UPDRS, ambulation speed	ambulation speed and number of steps
Burini et al. [44]	22 E	65.2 ± 6.5	aerobic and qigong	14	UPDRS, PDQ39, 6-min walk, BDI, BD'S	6 min walk, VO_{2max}, double product peak
Dibble et al. [56]	10 E 9 C	64.3 ± 9.6 67.0 ± 10.2	eccentric resistance	12	mobility, muscle force, quadriceps muscle volume	mobility, muscle force, quadriceps muscle volume
De Paula et al. [55]	20 E	61.5 ± 9.8	aerobic, strength and flexibility	12	NHP	NHP
Ashburn et al. [53]	65 E 65 C	72.7 ± 9.6 71.6 ± 8.8	strength, balance and aerobic	6	BBT, SAS, QoL functional reach	functional reach, QoL
Herman et al. [43]	9 E	70 ± 6.8	aerobic	6	UPDRS, PDQ39, SPPB, gait speed	PDQ39, UPDRS SPPB, gait speed
Cakit et al. [42]	21 E 10 C	71.8 ± 6.4	speed-dependent treadmill	8	BBT, DGI, FES walking distance	BBT, DGI, FES walking distance

BWSTT = Body weight-supported treadmill training; DGI = Dynamic Gait Index; FES = Falls Efficacy Scale; BBT = Berg Balance Test; Qigong = Chinese physiotherapy approach; MMSE = Mini-Mental State Examination; TUG = time to up and go; BD'S = Brown's Disability Scale; E = exercise; C = control; PT = physiotherapy; B = balance; S = strength; QoL = quality of life thermometer; BBS = Berg Balance Scale; UPDRS = Unified Parkinson's Disease Rating Scale; PDQ39 = 39-Item Parkinson's Disease Questionnaire; AMQZ = Adjective Mood Questionnaire of Zeersen; ABC Scale = Activities-Specific Balance Confidence Scale; SAS = Self-Assessment Parkinson's Disease Disability Scale; NHP = Nottingham Health Profile; BDI = Beck Depression Inventory; SPPB = Short Physical Performance Battery; SIP= Sickness Impact Profile; SOT = Sensory Orientation Test; BMT = Basic Motor Test; CURS = Columbia University Rating Scale.

and cognitive deterioration. Environmental stimuli along with genetic factors are thought to influence the onset of the disease. Among the lifestyle changes associated with AD prevention, exercise is seen as one of the most important ones [29]. Several studies have reported the relationship between physical activity and reduced incidence of dementia or cognitive deterioration [29–32]. A recent analysis of 10 studies investigating the effects of motor intervention treatments for subjects with dementia suggested positive effects of this non-pharmacological approach [33]. The efficacy of motor intervention was confirmed in affective status, psychosocial function, physical health and function, and caregiver distress. In another study, Teri et al. [31] observed that daily 30 min of physical training (aerobic, flexibility and strength) reduced the number of hospitalizations in 153 AD patients. It also decreased depressive symptoms and improved quality of life. Rolland et al. [8] evaluated 134 patients and demonstrated that, after a year of exercise intervention, the exercise group improved quality of life, as compared to the sedentary group. Other studies showed significant mood improvement in older adults with AD [34, 35]. In a recent study, Williams and Tappen [34] observed an antidepressant effect of exercise in severe AD. However, such investigations are still scarce and very little is known about the efficiency of exercise as a protective factor in AD [31, 34–40] (table 2).

Physical Exercise and Parkinson's Disease

Parkinson's disease (PD) is associated with genetic, environmental, and behavioral factors. Motor alterations are expressed as tremor, rigidity and hypokinesia, as well as posture and balance changes [41]. Such alterations are directly associated with falls and fatigue experienced by the patients. Exercise might help by protecting against the disease as well as an adjunctive treatment [42–57]. Epidemiological studies have suggested that exercise is related to a reduced risk of developing PD. Also, clinical studies have investigated the effectiveness of exercise, mainly focusing on motor performance, gait, and activities of daily living (ADLs) [58, 59]. Thacker et al. [57] have demonstrated that the intensity of exercise might influence the neuroprotective response. Higher intensities of exercise would be positively related to a protective factor, when compared to lower intensities. Goede et al. [59] observed that physical activity is significantly beneficial to PD patients, improving their quality of life, walking skills, and reducing neurological symptoms. In fact, improving functional capabilities as a consequence of strength and balance training might positively influence their independence and quality of life, not necessarily because of neurochemical alterations. Therefore, strength improvement also has an essential role in daily activities. Parkinsonians (idiopathic) who accomplished a 10-week strength and balance program developed strength and reduced the number of falls [9]. Although somewhat limited, evidence suggests that exercise training is beneficial to patients with PD, especially in functional capacity and ADLs improvement (table 3).

Neurophysiological Hypothesis

The protective effect of exercise could be explained by the hormesis theory, in which low doses of toxins and/or radiation can exert beneficial effects in organisms [60]. Radak et al. [61, 62] extended the hormesis theory to include reactive oxygen species (ROS), suggesting that the beneficial effects of regular exercise are partly based on its ability to generate ROS. Exercise-induced ROS production plays a role in the induction of antioxidants, DNA repair and protein-degrading enzymes, resulting in decreases in the incidence of oxidative stress-related diseases. Exercise would, therefore, increase the circulation of the same proinflammatory cytokines that are normally upregulated during a stress response. However, exercise may also upregulate anti-inflammatory cytokines, and with time, increase the immune system threshold for stress [63].

Exercise increases the release and synthesis of several neurotrophic factors related to better cognitive functioning, neurogenesis, angiogenesis and plasticity. The brain-derived neurotrophic factor (BDNF) and the insulin-like growth factor (IGF-1) are the factors that have been investigated the most. Animal research supports the idea that BDNF is essential for hippocampal functioning, synaptic plasticity, learning, and modulation of depression [5, 64, 65]. Studies have shown that exercise elevates the level of BDNF in the rat hippocampus, acting just like a regular antidepressive drug [66]. Winter et al. [67] observed an increase in BDNF in humans running at a high intensity (blood lactate level >10 mmol/l). Moreover, the authors showed that exercise accelerates learning. The IGF-1 is another neurotrophic factor correlated with cognitive improvement. IGF-1 is also correlated with neurogenesis, since its release starts several processes related to the proliferation of progenitor cells in the subgranular zone. Exercise increases IGF-1 levels, which are diminished in elderly adults with poor cognitive performance [68]. Since strength training increases testosterone and

IGF-1 levels, some authors argue that strength training might have an advantage over cardiovascular training. For example, Cassilhas et al. [69] observed improved cognitive functioning and higher IGF-1 levels in a group of elderly individuals after 6 months of strength training. Nottebohm [70] hypothesized that testosterone is the key to higher BDNF levels. In the brain, testosterone is aromatized in estradiol, and several studies have showed the correlation between estradiol and cognitive and mood aspects. Another important aspect is the regulation of the amyloid levels by IGF-1, since IGF-1 is inversely correlated with the β-amyloid peptide.

In addition to BDNF and IGF-1, exercise also regulates the expression of vascular endothelial growth factor (VEGF). VEGF regulates endothelial cell proliferation and angiogenesis, but also has neurotrophic, neuroprotective, and neurogenic effects. While IGF-1 and BDNF mediate behavioral improvements as a consequence of exercise, the interactive effects of IGF-1 and VEGF seem to coordinate exercise-induced neurogenesis and angiogenesis. Exercise-induced angiogenesis is associated with an increase in brain VEGF [65]. Pereira et al. [71] observed an in vivo correlation of exercise-induced neurogenesis and angiogenesis in the adult dentate gyrus, which was based on an increase of cerebral blood volume in this specific area.

Stress, depression and aging would decrease neurotrophic expression and neurogenesis in the brain, and both antidepressants and exercise would reverse these effects [5, 65]. Kempermann [72] proposed that major depression might result from a disturbance in neuronal plasticity and adult hippocampal neurogenesis. Neurogenesis in the adult hippocampus might improve cognitive processes (e.g., memory functioning) and treatment of several psychiatric diseases (e.g., depression). Voluntary exercise enhanced neurogenesis in the dentate gyrus of the adult mouse [73]. Stemming from these findings, the focus on the relationship between exercise and mental health has taken a new direction: neurogenesis in the adult human brain.

Exercise increases several neurotransmitters, such as serotonin (5-HT), dopamine (D), acetylcholine (ACh) and norepinephrine (NE). Moreover, exercise increases the activity of some subtypes of receptors for neurotransmitters changing the cortical/subcortical activity (for a review, see Sarbadhikari and Saha [74]). Winter et al. [67] observed a strong increase in peripheral catecholamine plasma levels (NE, 5-HT and D) after intense physical exercise in humans, and associated it to learning and memory improvements. However, peripheral catechol-amines do not cross the blood-brain barrier. A possible mechanism, then, is the calcium-calmodulin system, since exercise leads to increased serum calcium levels, and calcium is transported to the brain. This, in turn, enhances brain dopamine synthesis through a calmodulin-dependent system, and increases dopamine levels. In addition, exercise releases anandamide, which in turn, increases the dopamine release. Sparling et al. [75] reported the first evidence that exercise at a moderate intensity activates the endocannabinoid system. They showed elevated plasma anandamide levels in runners and cyclists when compared to sedentary controls. The analgesia, sedation, anxiolysis, and a sense of well-being with physical activity would be related to this neurophysiological pathway [76]. This mechanism seems to better explain the analgesic effects of exercise rather than the endorphin hypothesis. Plasmatic endorphin levels do not necessarily represent levels in the brain, due to the blockade of the blood-brain barrier. Hence, studies have shown that the endorphin release only occurs at high exercise intensities. A recent study showed in vivo evidence that release of endogenous opioids occurs in frontolimbic brain regions after exercise, which has been related to the level of euphoria after running [77].

Cerebral activity is positively correlated with an increase in oxygen and glucose uptake and with an increase in regional cerebral blood flow (CBF). Exercise is related to an increase in CBF in several cortical and subcortical areas [78]. Adenine nucleotides play a major role in the local control of CBF. In 1979, Forrester [79] proposed that circulating nucleotides and derivatives released from active skeletal muscle achieve levels in the arterial blood that would affect cerebral metabolism, by a system of 'metabolic communication' in the body mediated by circulating purine compounds. The levels of adenosine triphosphate (ATP), a potent vasodilator, increase during exercise and could be a mechanism involved in CBF regulation. Cerebral perfusion is also dependent on nitric oxide (NO), and physical activity upregulates endothelial NO synthesis and improves angiogenesis and CBF [80]. Moreover, exercise increases the production of VEGF which is believed to be the primary growth factor associated with capillary formation in the developing brain [5, 65].

Conclusion

Although exercise improves quality of life, prevents falls, increases balance, strength, and improves ADLs, the efficacy of an exercise intervention after the onset of

the disease is not commonly assessed and, therefore, needs to be investigated with randomized clinical trials. Neuropsychological aspects, invasive measurements (e.g., neurotrophic factors, neurotransmitters, hormones), neuroimaging studies, or some physiological markers associated with clinical parameters could help elucidate the potential role of exercise as a non-pharmacological treatment of mental disorders. Our review presents recent findings in clinical and animal investigations concerning the effects of exercise on general brain functioning. Although this is a promising research topic, the study of the real effects of exercise as an adjunctive treatment of mental illness still has a long way to go.

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